# Customer/ Cl



# t (individuals)

Full name	
Date of Birth	
Nationality	
Residential Address	



# **Evidence of name and address**

(Tick to confirm evidence received an

Evidence of name	<<	>>
Evidence of address	<<	>>

B below)

# **Risk Assessment**

Risk level (circle relevant level)	Reasons for concludevel
Very low	<< >>
Low	
Medium	
High	
Very High	

k level is medium or higher,

If the risk is assessed as high, ple

# **Certification**

- I have verified the identity o customer/client
- I have not verified the identity of customer/client

ibe action taken to mitigate the

verifying\_customer/client\_identity:

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# I have seen the original documents customer/cli Copies of the documents are attached to this forn Any photographs in the docum bore а good likeness to customer/client Name of person giving this certifica Position Company Telephone number Signature Date

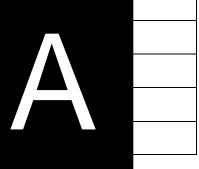
# (A) Evidence of r



# the tables below)

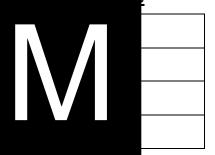
# **Current full signed passport**

Passport number	<< >>
Country code	<< >>
Place of birth	<< >>
Date of birth	<< >>
Expiry date	<< >>



# Resident permit issued to EU na

Unique number/reference	<<	>
Country of origin	<<	>:
Date of birth	<<	>:
Expiry date	<<	>:



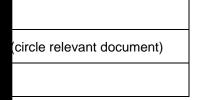
# Current UK/EU photo driving lic

Licence number	<< >>
Date of birth	<< >>
Expiry date	<< >>



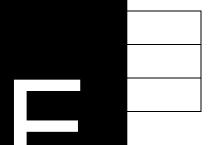
# **HMRC** tax notification

National insurance number	<b>~</b>
Document seen	P45
Expiry date	<b>&lt;&lt;</b>



# State pension or benefits book

Reference/account number	<<
Issuing authority	<b>&lt;&lt;</b>
Expiry date	<b>&lt;&lt;</b>



list.

# (B) Evidence of ac



# the tables below)

# **Current UK/EU photo driving lic**

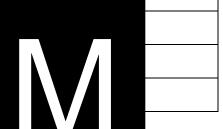
(Cannot be used here if already used

Licence number	<< >>
Current address?	Yes / No (cir
Date of issue	<< >>



# Current year's council tax bill

Reference/account number	<<
Current address?	Yes
Local authority	<<
Document date	<<



# State pension or benefits book

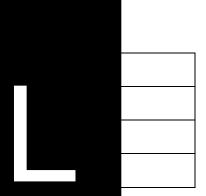
(Cannot be used here if already used

Reference/account number	<b>«</b>
Current address?	Yes
Issuing authority	<b>&lt;&lt;</b>
Document date	<<



# Local authority rent card or tena

Reference/account number	<b>~</b>
Current address?	Yes
Local authority	<<
Document date	<b>&lt;&lt;</b>



4

# Most recent mortgage statemen

Reference/account number	<b>«</b>
Current address?	Yes
Lender	<b>&lt;&lt;</b>
Document date	<<

# Bank or building society statem

Reference/account number	<<
Current address?	Yes
Institution name	<<
Document date	<<

# Utility bill (excluding mobile pho

Reference/account number	<<
Current address?	Yes
Utility company	<<
Document date	<<

list.

S

## Custor

# (compan

<u>ecklist</u>

## <u>ructures)</u>

Name of legal entity, i.e. corporate name

Registered or principal office addr

Legal form of entity and law by wh governed

If applicable, any register in which appears (including details of the stits registration number

A

received and checked

# Evidence of name and registere

(Tick to confirm evidence received an

Evidence of name	<b>~</b>	>>
Evidence of registered or principal office address	<b>&lt;</b> <	>>

# **Risk Assessment**

Risk level (circle relevant level)	Reasons for conclu- level
Very low	<< >>
Low	
Medium	
High	
Very High	

k level is medium or higher, ribe action taken to mitigate the

If the risk is assessed as high, ple

# S

# **Certification**

I	have	verified	the	identity	of
customer/client					

I have not verified the identity o customer/client

I have seen the original document

Copies of the corporate docum are attached to this form

Name of person giving this certific

Position

Company

Telephone number

Signature

Date

A

P

Е

erifying customer/client identity:

# Evidence of

# S

# and address

### **UK private company or LLP**

Certificate of Incorporation

[Copy of filed audited accounts]

[Details of current company/LLP (i.e directors, company s designated members (LLPs)) an of shareholders, members (LLPs)]

**Details of Registered Office** 

[Details of identity evidence for co (or LLP's) beneficial owners.]

UK Partnership – proof of ider trading address of entity

Other legal structures – any do structure.

A

idividuals for each partner and

hes, manages or regulates the

