# CLIENT MONEY POLICY

# S

### NAGEMENT COMPANIES

# 1. Purpose of Policy

- 1.1 This Policy sets out money on behalf of
- 1.2 The Company shall
- 1.3 The aims of this Pol
  - 1.3.1 To ensure th
  - 1.3.2 To ensure to obligations a

name>> ("the Company") handles

in accordance with this Policy.

afe.

lies with its legal and regulatory t Money.

### 2. Meaning of "Client Money

- 2.1 Client Money is an belong to the Comp
  - 2.1.1 Contract-hol
  - 2.1.2 Rents;
  - 2.1.3 Service char
  - 2.1.4 Interest cre agreement t
  - 2.1.5 Arbitration fe
  - 2.1.6 Fee money
  - 2.1.7 Clients' mon
  - 2.1.8 Money held
  - 2.1.9 Sale procee
  - 2.1.10 Auction sale
- 2.2 Interest earned on written agreement v

e Company that does not solely

ount (unless there is a written led by the Company);

d to contractors;

ppointed as a Receiver;

ng to the client unless there is a pany shall retain the interest.

# 3. Legal and Regulatory Ob

- 3.1 The Company shall with all legal obligat
- 3.2 The Company shall with any rules or re by:
  - 3.2.1 Any professi
  - 3.2.2 Any trade as
  - 3.2.3 Any Ombuds

y and all members of staff complying of Client Money.

y and all members of staff comply handling of Client Money imposed

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s (Wales).

of which the Compa

- 3.3 The Company sha Money and shall pro
- 3.4 The Company is a display a certificate its website and in-b

### 4. Client Bank Accounts

- 4.1 Client Money shall separate from the word "client" in the
- 4.2 Clients shall be ad and of any applicab
- 4.3 Client Money rece <<3>> working days
- 4.4 Client Money due promptly.
- 4.5 Clients will be provand shall be entitled
- 4.6 The Company shall including arrangem inspections.

This policy has been approved & a

Name: <<Insert

Position: <<Insert

Date: <<Date>

Signature:

er of staff is a member.

qualified staff to handle Client ate training and support.

ney protection scheme and shall y's membership to the scheme on

e client bank accounts which are account and which shall have the

account name and bank address

romptly and in any event within

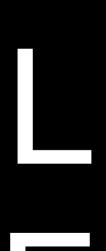
shall be transferred to the client

nents of income and expenditure receipts on request.

accounting systems are in place, conciliations and annual audits or

Director>>

s (Wales).



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