

STOCK TRANSFER FORM

AND TRANSFEREES TO SIGN)

Consideration £		Completion by the Registrar/Stock Exchange)	
Full name of undertaking			
Full description of security			
Number or amount of Shares, Stock or other security and, in figures column only, number and denomination of units, if any.	Words	Figures: (units of)	
Transferor(s) Full name(s) and full postal address(es) (including County or, if applicable, Postal District number) of the person(s) from whom the security is being transferred.			
Transferee(s) Full name(s) and full postal address(es) (including County or, if applicable, Postal District number) of the person(s) to whom the security is being transferred.			
For the consideration stated the named transferees hereby agree to take such security subject to the conditions hereof. We request that such entries be made in the books of the company when this transfer is executed below.		I/We hereby agree to give up the security to the named transferee(s) who have held the same. We request that such entries be made in the books of the company when effect to this transfer. In witness whereof	
Dated:			
Signed sealed and delivered by all transferor(s)			
1.	
2.	
3.	
4.	
Reference to the Registrar in this form means the Registrar of Companies		, not the Registrar of Companies at Companies House	

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Complete Certificate 1 if:

- the consideration you give for the transaction or series of transactions

and the transfer is not part of a larger transaction (see Certificate 1).

Complete Certificate 2 if:

- the transfer is otherwise exempt from ad valorem Stamp Duty
- the consideration given is not chargeable with ad valorem Stamp Duty

and you are not claiming a relief, or

Certificate 1

Please delete as appropriate	I/We certify that this transfer is not part of a larger transaction or aggregation of transactions.	This transfer by this instrument does not form part of a larger transaction in respect of which the amount or value, or aggregate amount or value, of the consideration exceeds £1,000.
** Delete second sentence if certificate is given by transferor	I/We* certify that this certificate is given by the transferor.	I am authorised by the transferor to sign this certificate on behalf of all the facts of the transaction.**

Signature (s)

(“Transferor”, “Solicitor”, etc)

Date:

Certificate 2

Please delete as appropriate	I/We certify that this transfer is otherwise exempt from ad valorem Stamp Duty or that no chargeable consideration is given for the transaction.	This transfer is otherwise exempt from ad valorem Stamp Duty or that no chargeable consideration is given for the transaction.
** Delete second sentence if certificate is given by transferor	I/We* certify that this certificate is given by the transferor.	I am authorised by the transferor to sign this certificate on behalf of all the facts of the transaction.**

Signature (s)

(“Transferor”, “Solicitor”, etc)



Date:

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NOTES

- (1) You don't need to send this form to HMRC if you have completed either Certificate 1 or 2, or if the consideration for the transfer is nil (in which case you must write 'nil' in the consideration field). In these situations send the form to the company or its registrar.
- (2) In all other cases – including where Stamp Duty is claimed – send the transfer form to HMRC to be stamped.
- (3) Information on Stamp Duty and how to claim them can be found on the HMRC website at hmrc.gov.uk

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