[<<Insert Name of

<< insert date>>

[By post/email]

Dear << insert name >>1 on behal

Statutory Notice: Register of F notice to a relevant legal entit 2006

In accordance with Part 21A of Partnerships (Register of Peoful Legislation"), we are under a dutentity we have reasonable cause in respect of the LLP. We have reasonable entity under the Legisla

For your information, further brief are attached as Annex 2 to this le notice and why we are writing to y

We therefore require you to con above] to us as soon as possible month after the date of this Notice in respect of the LLP:

- whether << insert RLE n Legislation;
- if so, whether the required <<insert RLE name>>'s re
- if Annex 1 is incorrect or in

If << insert RLE name >> is not so know the identity of anyone who i of section 790D(6) of the Compaand, in either case, please provide stating whether or not these particles persons or legal entities concerne

You should be aware that failure disclose false information, is ar Companies Act 2006 subject to both). In addition, we may take a

<sup>1</sup> This will most likely be addressed to a director of

ship>>] (the "LLP")

**>>**.

Control in relation to a LLP – on 790D of the Companies Act

2006, and the Limited Liability ontrol) Regulations 2016<sup>2</sup> (the nformation and give notice to any strable RLE under the Legislation e that << insert RLE name >> is a

gislation and registrable interests tter understand the context of this

by email to the address shown insert date>>, being one calendar n concerning your interest, if any,

RLE within the meaning of the

Annex 1 to this Notice setting out urate, correct and complete; and complete information.

tity, please inform us whether you strable person within the meaning one likely to have that knowledge s that are within your knowledge, with the knowledge of each of the

ion required by this notice, or to aph 13 of Schedule 1B to the rears imprisonment, or a fine (or ntrol rights until such time as you

006 (as inserted by the Small Business Enterprise ons 2016, to LLPs with modifications.

tii iiiodiiications.

1

<sup>&</sup>lt;sup>2</sup> These regulations apply Part 21A of, and Schedule and Employment Act 2015), and the Register of Ped

have complied with this notice or p so.

Full details of your obligations can

We look forward to hearing from y

Yours faithfully,

<<Insert Name of Designated Mer

For and on behalf of:

<<Insert Name of Limited Liability

S

ufficient to justify your failure to do

v.uk website.

A

P

S

Required Particulars for

in relation to the LLP

Name of legal entity, i.e corpora name

Registered or principal office ad

Legal form of the person or enti by which it is governed

If applicable, any register in whi appears (including details of the and its registration number<sup>3</sup>

Date RLE first became registrab relation to the LLP

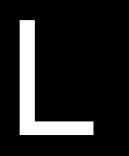
Nature of control<sup>5</sup> over the LLP



insert relevant details>>



<sup>&</sup>lt;sup>5</sup> There are 5 conditions set out in the legislation fo of the conditions are relevant and include all of the official wording must be used.



or overseas (the central public register of

be 6<sup>th</sup> April 2016.

tion to LLPs. The PSC register must identify which tions, please see our PSC Guidance Note. The

<sup>&</sup>lt;sup>3</sup> If the RLE is entered on another register in the UK companies in another country), this must be noted

<sup>&</sup>lt;sup>4</sup> For existing LLPs completing a PSC register for the



## Brief overview of the Legislati ("PSCs") in rel

The below is a brief summary of people with significant control. Thi sent you and is for information or such.

Further information is available of who will be able to advise you.

- The Small Business, Entereformed UK company last SBEE into the Companie companies and LLPs to control over them. This aist companies and LLPs as w
- The statutory PSC register control a company or LLP
- Broadly the only exempt Stock Exchange (and AIM and transparency rules.

The **new requirements** require al

- Keep a PSC register;
- Take reasonable steps to register;
- Record the PSC's details a
- Make the register available
- From 30th June 2016, prov

Even if a LLP has no interests sanctions apply for non-compliand

## **Identifying PSCs**

A PSC in relation to a LLP is an in

- directly or indirectly holdin winding up;
- directly or indirectly holding
- directly or indirectly holdi involved in management;
- otherwise having the right control; or

## people with significant control y Partnerships

ing to the keeping of a register of u in replying to the notice we have be and should not be relied on as

ite. If in doubt contact a solicitor

ct 2015 ("SBEE") has materially nificant changes inserted by the different from 6<sup>th</sup> April 2016, for all UK tion about people with significant ency about the ownership of UK and money laundering.

dividuals who ultimately own and of their holdings made public.

heir shares listed on the London eir own stringent set of disclosure

þ:

lould be registered on the PSC

o date:

Ы

Companies House.

st still keep a register. Criminal

y of the following conditions:

25% of the surplus assets on a

oting rights;

or remove the majority of those

exercising, significant influence or

holding the right to exerciover the activities of a trust of the first four tests if it we

The Government has provided swhich can be accessed at <a href="https://www.gc">www.gc</a>

The provisions also recognise the with control, if such legal entity we relation to the LLP if it were an ir requirements, it must be included

The details of what needs to be re

## Why are we sending you this no

A LLP must take reasonable ste giving notice to any registrable PS registrable. This can also include LLP knows or has reasonable of someone likely to have that knowle

PSCs are also under an obligation LLP up to date.

Failure to comply with such duties

g, significant influence or control lentity, but would itself satisfy any

he meaning of significant control

ntities (as opposed to individuals) of the conditions listed above in ty is subject to its own disclosure

egister are set out in Annex 1.

m registrable PSCs, including by reasonable cause to believe to be ig information to anyone who the the identity of a PSC or knows

e LLP of their status and keep the

