

2) if you believe they fall within section 790D(6) of the Companies Act 2006:

- [Date on which they were first notified to the person in relation to the Company];
- [The nature of their relationship with the Company as defined in the Legislation].

You should be aware that failure to provide the information required by this notice, or to disclose false information, is an offence under paragraph 13 of Schedule 1B to the Companies Act 2006 subject to a maximum of 5 years imprisonment, or a fine (or both).

Full details of your obligations can be found on the www.gov.uk website.

We enclose a pro-forma reply slip to assist you in responding to us as promptly as possible.

We look forward to hearing from you.

Yours faithfully,

.....

For and on behalf of¹:

<<Insert Company Name Limited>

¹ This must be signed by a director or secretary of the company.

people with significant control

ing to the keeping of a register of
 u in replying to the notice we have
 ce and should not be relied on as
 able to advise you.

act 2015 ("SBEE") has materially significant changes inserted by the and from 6th April 2016, for all UK tion about people with significant ency about the ownership of UK / laundering.

- individuals who ultimately own and control the holdings made public. The companies with their shares listed on the London Stock Exchange are subject to their own stringent set of disclosure requirements.

should be registered on the PSC
to date;
d
Companies House.

- is dormant), it must still keep a

Conditions:

- owns or controls a majority of the shares in the company;
- has the right to appoint or remove a majority of the board of directors or to elect or remove a majority of the management;
- has the right to exercise significant influence or control over the company;

Significant influence or control over

- Significant influence or control over

- has the right to exercise, or the activities of a trust or firm, the first four tests in relation to the company.

The Government has provided a guide to the meaning of significant control which can be accessed at www.gov.uk/guidance/significant-control

The provisions also recognise that a company may be controlled by a legal entity with control, if such legal entity would itself satisfy any of the conditions listed above in relation to the company if it were an individual. If a company is subject to its own disclosure requirements, it must be included in the company's PSC register.

Why are we sending you this notice?

A company must take reasonable steps to identify and confirm registrable PSCs and RLEs (to any registrable PSC or RLE) who the company knows or has reasonable cause to believe know or have that knowledge.

PSCs/RLEs are also under an obligation to notify the company of their status and keep the company up to date.

Failure to comply with such duties

significant influence or control over the company which would itself satisfy any of the conditions listed above in relation to the company if it were an individual.

the meaning of significant control

entities (as opposed to individuals) of the conditions listed above in relation to the company if it were an individual. If a company is subject to its own disclosure requirements, it must be included in the company's PSC register.

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notify the company of their status