

STOCK TRANSFER FORM

AND TRANSFEREES TO SIGN)

Consideration £		Completion by the Registrar/Stock Exchange)	
Full name of undertaking			
Full description of security			
Number or amount of Shares, Stock or other security and, in figures column only, number and denomination of units, if any.	Words		Figures: (units of)
Transferor(s) Full name(s) and full postal address(es) (including County or, if applicable, Postal District number) of the person(s) from whom the security is being transferred.			
Transferee(s) Full name(s) and full postal address(es) (including County or, if applicable, Postal District number) of the person(s) to whom the security is being transferred.			
For the consideration stated the named transferee(s) hereby agree to take such security subject to the conditions of the transfer. We request that such entries be made in the books of the company in which this transfer is executed below. Dated:		I, the undersigned, do hereby give security to the named transferee(s) who have agreed to take such security subject to the conditions of the transfer. In witness whereof I have hereunto set my hand and seal this day of 20 .	
Signed sealed and delivered by all transferor(s) and transferee(s) in the presence of two or more witnesses, one of whom shall be a solicitor, and the Registrar of Companies at Companies House.			
1. 2. 3. 4.	
Reference to the Registrar in this form means the Registrar of Companies at Companies House, not the Registrar of Companies at Companies House			

FORM OF CERTIFICATE REQUIRED

CHARGEABLE WITH *AD VALOREM*

Complete Certificate 1 if:

- the consideration you give for the transfer is not part of a larger transaction or series of transactions (see Certificate 1).

Complete Certificate 2 if:

- the transfer is otherwise exempt from *ad valorem* Stamp Duty, or
- the consideration given is not chargeable with *ad valorem* Stamp Duty, and you are not claiming a relief, or

Certificate 1

Please delete as appropriate	I/We certify that the transfer by this instrument does not form part of a larger transaction or aggregation of transactions in respect of which the amount or value, or aggregate amount or value, of the consideration exceeds £1,000.
** Delete second sentence if certificate is given by transferor	I/We* certify that the transferor is authorised by the transferor to sign this certificate of all the facts of the transaction.**

Signature (s)

(“Transferor”, “Solicitor”, etc)

Date:

Certificate 2

Please delete as appropriate	I/We certify that the transfer is otherwise exempt from <i>ad valorem</i> Stamp Duty, or that no chargeable consideration is given for the transfer, or that the transfer is exempt from Stamp Duty.
** Delete second sentence if certificate is given by transferor	I/We* certify that the transferor is authorised by the transferor to sign this certificate of all the facts of the transaction.**

Signature (s)

(“Transferor”, “Solicitor”, etc)

Date:

S
A
M
P
L
E

NOTES

- (1) You don't need to send this form to HMRC if you have completed either Certificate 1 or 2, or if the consideration for the transfer is nil (in which case you must write 'nil' in the consideration box). In these situations send the form to the company or its registrar.
- (2) In all other cases – including where Stamp Duty is claimed – send the transfer form to HMRC to be stamped.
- (3) Information on Stamp Duty and how to claim them can be found on the HMRC website at hmrc.gov.uk

S

A

M

P

L

E