## Guidance on Reg Control of a Charital

#### 1. Introduction

This note is relevant to Company Limited by Guarform.

As a result of the Small I from 6 April 2016 every 0 below.

This note is only intended SBEE in relation to PS comprehensive **Guidance** recommend that you also group and to the Govern guidance comprehensively directors/trustees and secre

#### 2. Who does the PSC regim

From 6<sup>th</sup> April 2016 all CCL significant control over th Significant Control. The ai of CCLGs. Although a CC shareholders – a CCLG do

A PSC register cannot be companies, their officers (the relevant information is years imprisonment and/or

If a CCLG has a subsidiar also apply to that company

#### 3. Who is exempt from the

A charity which is a CIO, regime.

#### 4. What are the key features

CCLGs will have to:

- keep a register of the
- take reasonable s register;
- enter the required i
- provide the informa
- monitor and update
  changes and update

# with Significant nited by Guarantee

set up in the form of a Charity an as a CIO or in unincorporated

d Employment Act 2015 (SBEE), p a "PSC register", as explained

action to the requirements of the also need to read the more in the PSC Registers group. We todocuments in the PSC Registers SC registers. The government's has been specifically written for a can be accessed here.

## the basic requirement of it?

eep information about people with as the Register of People with ency about the ownership/control lembers and it is not "owned" by PSC regime still applies to it.

to comply with the regime by rs) and those required to provide hose found guilty facing up to two

mant or not), the PSC regime will

tion, or trust is exempt from the

rol over them – the PSC register; vho are registrable on the PSC

egister;

e; and

ne CCLG's own register when it npanies House when it makes its

next "annual confire

#### 5. Who is a person with sig

An individual who meets Conditions) in relation to a

- directly or indirectly
- directly or indirectly directors (trustees)
- otherwise have the or control; and/or
- hold the rights to exover the activities of itself satisfy any of

## 6. Compiling the PSC Regis

A CCLG must take **reaso** entity meets the conditions that person is. It may be identify the person or confinence. The Government's in order to identify its PSCs

#### 7. Significant influence or d

The bullet point conditions **control**". The Governmen which must be considered

The guidance provides saf financial advisers etc if the the parameters of their p require registration in the C

#### 8. Serving of Notices

A CCLG must take reason and, if so, to identify them. Please see details of how t

There are also proactive r PSCs to notify and supply registrable interest, but the

### 9. The Register

The Companies Act 2006 Register.

For an individual, this inclu

Name

#### f a CCLG?

following four conditions (PSC

he voting rights of the CCLG; int or remove the majority of the

ally exercise, significant influence

ise, significant influence or control not a legal entity, but which would is if it were an individual.

le whether any individual or legal ation to the CCLG, and if so, who conable steps, the CCLG cannot the to take these steps is a criminal teps a CCLG should typically take

refer to, "**significant influence or** guidance on the meaning of this accessed here.

such as lawyers, accountants and sing a CCLG are operating within trole. They would not therefore

ether it has any registrable PSCs detailed procedures for doing this. iuidance on the PSC Regime.

thin the legislation on registrable if he/she/it knows he/she/it has a tice about it from the CCLG.

that must be included in a PSC

Service Address (if appl
Country/State/Part of Uk
Nationality
Date of Birth
Usual residential addres
Date first became regist
Nature of control
Restrictions in force

For a legal entity, this inclu

Name of legal entity, i.e.
Registered or principal
Legal form of entity and
If applicable, any regis
state) and its registratio
Date RLE first became r
Nature of control

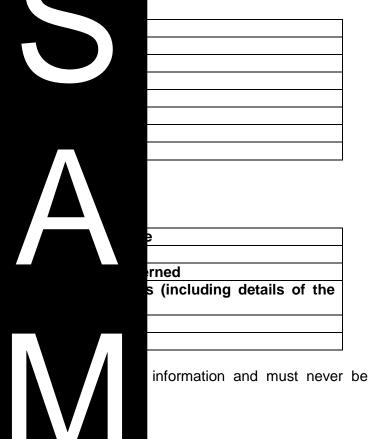
The PSC Register must empty.

### 10. Public information

CCLGs must keep their PS at its registered office or House. Anyone (an indivaccess to the company's rfee.

#### 11. Conclusion

For many CCLGs, identi straightforward, but this no the PSC regime. Therefore secretaries and administra Guidance on the PSC Re seeks legal advice as requ



A CCLG can keep its PSC register vided it has notified Companies th a proper purpose) may have r have a copy of it for an optional

rable RLEs should be relatively with some of the requirements of sure that its directors, trustees, PSC regime, that they read the ent's guidance, and that the CCLG